SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	l Address of Rep on Mark	porting Person [*]	2. Date of Requiring (Month/Da 12/30/20	Statement ay/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Clene Inc.</u> [CLNN]					
(Last) (First) (Middle) 6550 SOUTH MILLROCK DRIVE SUITE G50 (Street) SALT LAKE UT 84121 CITY			_		4. Relationship of Reportin Issuer (Check all applicable) Director X Officer (give title below) Chief Science	10% Owner Other (specify below)		 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 		
(City)	(State)	(Zip)	-							
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock					138,924	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
EX EX			2. Date Exerc Expiration Da (Month/Day/)	ate	3. Title and Amount of Securit Underlying Derivative Security (Instr. 4)		rity Conver or Exer		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)
Incentive S buy)	Stock Option ((right to	(1)	(1)	Common Stock	263,955	2.3754		D	
Incentive S buy)	Incentive Stock Option (right to buy)		(2)	(2)	Common Stock	687,604	0.14	14	D	

Explanation of Responses:

1. Awarded under the Clene Nanomedicine, Inc. 2014 Stock Plan on August 26, 2019 and currently exercisable. The options expire 10 years from the date of the grant.

2. Awarded the right to buy 6,210,000 shares of Clene Nanomedicine common stock under the Clene Nanomedicine, Inc. 2014 Stock Plan on July 31, 2014. The reporting party exercised his right to purchase 1,000,000 shares of Clene Nanomedicine common stock on November 23, 2016. Following Clene Nanomedicine, Inc.'s merger, the unexercised options converted into a right to buy common stock of Clene, Inc. The unexercised options now represent the right to buy 687,604 shares of Clene, Inc. common stock. The unexercised options are all currently exercisable and expire 10 years from the date of the grant.

Remarks:

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					_			

** Signature of Reporting

01/04/2021 Date

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.