| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: 3235-0287 | | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

| 1. Title of Secur | ity (Instr. 3) | | 2. Transaction | 2A. Deemed | 3. | 4. Securities Acquired (| | 5. Amount of | 6. Ownership | 7. Nature |
|---------------------------------|-------------------------------------|-----------------------|----------------|--|------------------|---|----------|---|--|------------------|
| | | Table I - Non | -Derivative S | Securities Acq | uired, Dis | oosed of, or Bene | ficially | v Owned | | |
| (City) | (State) | (Zip) | | | | | | | | |
| SALT LAKE CITY | UT | 84121 | | | | | X | | / One Reporting Pe / More than One Re | |
| (Street) | | | 4. If A | Amendment, Date o | f Original Filed | l (Month/Day/Year) | Line) | | Group Filing (Check | |
| SUITE G50 | | | | | | | | | | |
| 6550 SOUTH | MILLROCK | DRIVE | 07/1 | 4/2021 | | | | | | |
| (Last) | (First) | (Middle) | 3. Da | ate of Earliest Trans | action (Month | Day/Year) | - | Officer (give below) | title Other below | r (specify v) |
| 1. Name and Add WILCOX I | dress of Reporting <u>REED N</u> | 9 Person [*] | | suer Name and Tick <u>ne Inc.</u> [CLN] | • | Symbol | | ationship of Rep k all applicable) Director | , | |
| Obligations m Instruction 1(| nay continue. See b). | | | ant to Section 16(a) ection 30(h) of the I | | es Exchange Act of 193 npany Act of 1940 | 4 | <u> </u> h | ours per response: | 0.5 |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | Disposed Of | Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 and | | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect |
|---------------------------------|--|---|------------------------------|---|-----------------------|--|--------|------------------------------------|---|-------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 07/14/2021 | | A | | 27,784 ⁽¹⁾ | Α | \$0.00 | 27,784 | D | |
| Common Stock | | | | | | | | 19,449 | D | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | | - | | | | - | | |
|---|---|--|---|------------------------------|---|--|---------------------------|--|--------------------|-------|--|---|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) of Dispo of (D) | r osed) 7. 3, 4 | Expiration Date (Month/Day/Year) irred 3, 4 | | | e and Int of rities rlying ative rity (Instr. 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |

Explanation of Responses:

1. This stock award was granted on July 14, 2021 under the Clene Inc. 2020 Stock Plan. The award fully vests on the date of grant.

Remarks:

<u>/s/ Jerry Miraglia POA</u>

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

