SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Mosca Alison</u>	Requiring (Month/D	2. Date of Event Requiring Statement (Month/Day/Year) 12/30/2020 3. Issuer Name and Ticker or Trading Symbol Clene Inc. [CLNN]						
(Last)(First)(Middle)6550 SOUTH MILLROCK DRIVESUITE G50			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		wner			
(Street) SALT LAKE UT 84121 CITY			Officer (give title below)	Other (below)	specity -	A Person	e Line) by One Reporting by More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	oirect C direct	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerce Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversi or Exerci Price of	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivativ Security		5)	
Warrants (Right to buy)	06/28/2021	12/30/2021	Common Stock	15,000(1)	0.01	I	By LP ⁽²⁾	
Warrants (Right to buy)	06/28/2021	12/30/2021	Common Stock	10,000 ⁽³⁾	0.01	I	By Trust ⁽⁴⁾	

Explanation of Responses:

1. This Form 3 amendment is being filed to report 15,000 warrants owned by Kensington Investments, L.P. acquired in a private placement on December 30, 2020, which warrants were inadvertently omitted from the original Form 3 filed on January 8, 2021.

2. The warrants are owned by the Kensington Investments, L.P. Ms. Mosca is the chief executive officer of Kensington Investments, L.P. The warrants owned by the Kensington Investments, L.P. may be deemed to be beneficially owned by Ms. Mosca. Ms. Mosca disclaims all warrants owned by Kensington Investments, L.P., of which she does not have a pecuniary or profits interest.

3. This Form 3 amendment is being filed to report 10,000 warrants owned by the Robert C. Gay 1998 Family Trust acquired in a private placement on December 30, 2020, which warrants were inadvertently omitted from the original Form 3 filed on January 8, 2021.

4. The warrants are owned by the Robert C. Gay 1998 Family Trust. Ms. Mosca is the trustee of the Robert C. Gay 1998 Family Trust. The warrants owned by the Robert C. Gay 1998 Family Trust may be deemed to be beneficially owned by Ms. Mosca. Ms. Mosca has no pecuniary or profits interest in the warrants held by the Robert C. Gay 1998 Family Trust.

Remarks:

/s/ Jerry Miraglia POA

07/21/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.