FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# OMB APPROVAL OMB Number: 32350104 Estimated average burden

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### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Gay Jona	Address of Rep athon	orting Person*	Requiring (Month/Da	2. Date of Event Requiring Statement Month/Day/Year) 2/30/2020  3. Issuer Name and Ticker or Trading Symbol Clene Inc. [ CLNN ]							
(Last) 6550 SOU' SUITE G5	(First) TH MILLRO	(Middle)			Relationship of Reporting Issuer (Check all applicable)     X Director	g Person(s)		5. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street) SALT LAKE CITY (City)	UT (State)	84121 (Zip)	_		Officer (give title below)		specify		eck Applicable Form filed be Person	by One Reporting	
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					47,962	Г	D				
Common Stock				1,164,749	I	I		(1) <sup>(1)</sup>			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
			2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)				5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivativ Security	tive or Indirec		3,		
Incentive Stock Option (right to buy)		(2)	(2)	Common Stock	204,895	0.53		D			

### **Explanation of Responses:**

- 1. The securities are beneficially owned by KSV Gold, LLC. Mr. Gay is a member of KSV Gold, LLC's management team. The shares beneficially owned by KSV Gold, LLC may also be deemed to be beneficially owned by Mr. Gay. However, Mr. Gay has no control over how the shares owned by KSV Gold, LLC are voted and disclaims all shares for which he does not have a pecuniary or profits interest.
- 2. Awarded pursuant to that certain Non-Plan Stock Option Agreement, dated as of November 23, 2015, by and between Clene Nanomedicine, Inc. and the reporting person. The options are currently exercisable and expire 10 years from the date of the grant.

#### Remarks:

/s/ Jerry Miraglia POA 01/04/2021

\*\* Signature of Reporting Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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